



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

↓.0

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
-----------------	-------------	----------------------	---------------------

08/820,608 03/19/97 SUNAGA

T CU-1516RJS

JOHN J CHRYSTAL
LADAS AND PARRY
224 SOUTH MICHIGAN AVENUE
CHICAGO IL 60604

LM02/0714

EXAMINER

VANDERPUYE, K

ART UNIT

PAPER NUMBER

2732

17

DATE MAILED:

07/14/99

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No.

08/820,608

Applicant(s)

SUNAGA

Examiner

K Vanderpuye

Group Art Unit

2732

—The MAILING DATE of this communication appears on the cover sheet beneath the correspondence address—

Period for Response

A SHORTENED STATUTORY PERIOD FOR RESPONSE IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a response be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for response specified above is less than thirty (30) days, a response within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for response is specified above, such period shall, by default, expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to respond within the set or extended period for response will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).

Status

- ☐ Responsive to communication(s) filed on _____.
- ☒ This action is **FINAL**.
- ☐ Since this application is in condition for allowance except for formal matters, **prosecution as to the merits is closed** in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

Disposition of Claims

- ☒ Claim(s) 1-17 is/are pending in the application.
- Of the above claim(s) _____ is/are withdrawn from consideration.
- ☐ Claim(s) _____ is/are allowed.
- ☒ Claim(s) 1, 4, 6-17 is/are rejected.
- ☒ Claim(s) 2, 3, 5 is/are objected to.
- ☐ Claim(s) _____ are subject to restriction or election requirement.

Application Papers

- ☐ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.
- ☐ The proposed drawing correction, filed on _____ is ☐ approved ☐ disapproved.
- ☐ The drawing(s) filed on _____ is/are objected to by the Examiner.
- ☐ The specification is objected to by the Examiner.
- ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119 (a)-(d)

- ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
 - ☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been received.
 - ☐ received in Application No. (Series Code/Serial Number) _____.
 - ☐ received in this national stage application from the International Bureau (PCT Rule 1.7.2(a)).

*Certified copies not received: _____

Attachment(s)

- ☐ Information Disclosure Statement(s), PTO-1449, Paper No(s). _____
- ☐ Interview Summary, PTO-413
- ☐ Notice of References Cited, PTO-892
- ☐ Notice of Informal Patent Application, PTO-152
- ☐ Notice of Draftsperson's Patent Drawing Review, PTO-948
- ☐ Other _____

Office Action Summary

Art Unit: 2603

DETAILED ACTION

Response to Arguments

1. Applicant's arguments filed 5/19/99 have been fully considered but they are not persuasive. The applicant points out the characteristic differences between a pilot signal in a CDMA versus the TDMA control channel. Applicant argues that since the use of the CDMA pilot signal and the TDMA control channel have different purposes it would not be obvious to combine Tanaka with the admitted prior art. The test for obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference; nor is it that the claimed invention must be expressly suggested in any one or all of the references. Rather, the test is what the combined teachings of the references would have suggested to those of ordinary skill in the art. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981). Pilot signals are used by subscriber units to obtain initial system synchronization, and time, frequency and phase tracking of transmitted signals i.e. from a base station. Phase information obtained from tracking a pilot carrier is used as a carrier phase reference for coherent demodulation or other user information signals. The TDMA control channel is used to receive control information i.e. timing, synchronization data from the base station. By giving the broadest interpretation to claims 1, 4, 8, 13, it is conceivable that one could intermittently transmit a pilot signal for the same reasons that Tanaka would intermittently transmit a control signal i.e. to achieve power savings. Reduced power consumption is achieved both in the mobile and transmitter in Tanaka and this is adequate

Art Unit: 2603

motivation to combine Tanaka with the admitted prior art. Applicant has further clarified the advantages of his invention over the prior art. However none of these distinctions as argued are incorporated in the claims. The rejections in the previous office action is therefore hereby maintained.

Claim Rejections - 35 U.S.C. § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claim 1, 4, 8 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over admitted prior art in view of Tanaka(5,636,243).

Claim 1 is rejected because the admitted prior art teaches a CDMA mobile communication system with a pilot channel that transmits a pilot signal in spread spectrum formation and traffic channel transmit units that respectively transmit data signals while the pilot signal is transmitted(Fig. 1 and Fig. 2). As shown in figure in Fig. 5 of the current application, in a CDMA network involving multiple base stations, a pilot signal transmitted by one base station, regardless of whether it is being transmitted intermittently or continuously, is transmitted in synchronism with pilot signals from other base stations. This is evidenced by the timing relationship i.e. offset time t between base stations(see Fig. 5). The timing offset makes it possible for the pilot signals

Art Unit: 2603

from the different base stations to be easily distinguishable from each other. What the admitted prior art does not teach is a pilot signal that is intermittently transmitted. Tanaka's invention deals with direct communications between mobile stations in which he discloses a method wherein a single base station transmits an intermittent control signal, in a predetermined control channel(TDMA slot once every 100 msec, Fig. 3), to terminals located within the service area. These terminals communicate with each other by transmitting control and response signals intermittently to establish synchronization therebetween(summary of the invention, also see Fig. 12). In this way less power is expended during the establishment of synchronization. It would have been obvious to one of ordinary skill in the art to incorporate this same concept in the admitted prior art i.e. intermittent transmission of a pilot signal by a CDMA transmitter for the purpose of reducing power consumption.

Claim 4 is rejected because the admitted prior art teaches a CDMA receiver(Fig. 2) comprising: a pilot channel receiver unit which demodulates pilot signals in spread spectrum formation by transmitters while digital signals are sent in respective traffic channels. What the admitted prior art does not teach is the demodulation of intermittently transmitted pilot signal and the detection from the pilot signal a timing for a traffic channel demodulation. Tanaka discloses an intermittent receiving operation whereby the mobile terminal intermittently receives the control signal(Fig. 11). The reasons for combining Tanaka with the admitted prior art are obvious in light of the above rejections. The motivation being that the receiver will require the intermittent timing

Art Unit: 2603

signal for the purpose of intermittently synchronize mobile unit in order to demodulate the traffic signal.

Claim 8 is rejected for the same reasons as claims 1 and 4 and in addition to the fact that it is well known in the art that a CDMA mobile communications system is made up of plural base stations and mobile units in order to maintain communication over a wide geographic area.(cells)

Claim 9 and 14 are rejected because the use of offsets is taught by the admitted prior art.

Claims 10-12, 15-17 are rejected because it is well known in the art that the offset time can be changed depending on the extent to which it is practicable for a base station to be distinguished itself from other base stations.

Claim 13 is rejected because the admitted prior art teaches a CDMA mobile communication method comprising steps of: transmitting pilot signals in spread spectrum formation, the admitted prior art does not teach the demodulation of intermittently transmitted pilot signals nor the detection form timing signals a timing for traffic channel demodulation. The reasons for rejecting steps (b) and © are discussed in the rejections of claims 1 and 4.

4. Claim 6 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over the admitted prior art in view of Tunica as applied to claim 4 above, and further in view of Marchetto et al(5,414,734).

Claims 6 and 7 are rejected because Marchetto teaches a receiver circuitry that uses the pilot signal to demodulate data affected by fading and interference and compensates for the undesired effects.(see Fig 3@ 96, 100, 92 and 104 also see abstract). It would have been obvious

Art Unit: 2603

to one of ordinary skill in the art to incorporate this circuitry in the admitted prior art for the purpose of enabling channel response estimates to be made. The motivation would be to compensate for multi-path interference.

Allowable Subject Matter

5. Claim 2, 3, 5 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Conclusion

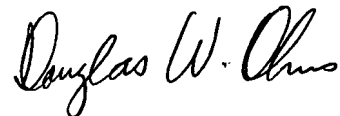
6. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Art Unit: 2603

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kenneth Vanderpuye whose telephone number is (703) 308-7828. The examiner can normally be reached on M-F from 6:30am to 5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Doug Olms, can be reached on (703) 305-4703 . The fax phone number for this Group is (703) 308-9051.



DOUGLAS W. OLMS
SUPERVISORY PATENT EXAMINER
GROUP 2700



Kenneth Vanderpuye

July 11, 1999